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Analysis of face gaze in autism using "Bubbles" 3 Michael L. Spezio^a, Ralph Adolphs^{a,*}, Robert S.E. Hurley^b, Joseph Piven^b ^a Division of Humanities and Social Sciences, California Institute of Technology, HSS 228-77, Caltech, Pasadena, CA 91125, USA 5 ^b Neurodevelopmental Disorders Research Center, University of North Carolina, Chapel Hill, NC 27599, USA Abstract One of the components of abnormal social functioning in autism is an impaired ability to direct eye gaze onto other people's faces in social c 10

situations. Here, we investigated the relationship between gaze onto the eye and mouth regions of faces, and the visual information that was present within those regions. We used the "Bubbles" method to vary the facial information available on any given trial by revealing only small parts of the 11 face, and measured the eye movements made as participants viewed these stimuli. Compared to ten IQ- and age-matched healthy controls, eight 12 participants with autism showed less fixation specificity to the eyes and mouth, a greater tendency to saccade away from the eyes when information 13 was present in those regions, and abnormal directionality of saccades. The findings provide novel detail to the abnormal way in which people with 14 autism look at faces, an impairment that likely influences all subsequent face processing. 15 © 2006 Published by Elsevier Ltd. 16

Keywords: Social cognition; Emotion; Autism; HFA; Eyetracking; Facial information 17

Autism is a neurodevelopmental disorder strongly character-19 ized by deficits in social interaction and impaired understanding 20 of the mental states of others (Baron-Cohen, 1997; Frith & Frith, 21 1999; Kanner, 1943; Siegel, Vukicevic, & Spitzer, 1990), a dys-22 function that persists even in people with autism who have IQs in 23 the normal range. Because high functioning children and adults 24 with autism show (Baron-Cohen et al., 1999; Buitelaar, van 25 Engeland, de Kogel, de Vries, & van Hooff, 1991; Carpenter, 26 Pennington, & Rogers, 2002; Castelli, Frith, Happe, & Frith, 27 2002; Loveland, Pearson, Tunali-Kotoski, Ortegon, & Gibbs, 28 29 2001; Ozonoff & Miller, 1995; Pedersen, Livoir-Petersen, & Schelde, 1989; Rogers, 2000; Rogers, Hepburn, Stackhouse, & 30 Wehner, 2003) and report (Gilpin, 2002; Grandin, 1996) diffi-31 culties in social judgment (e.g., understanding others' emotions, 32 deciding on appropriate social behaviors, etc.), a main focus of 33 autism research is to understand how people with autism process 34 salient social cues, notably from faces. 35

There has been a considerable amount of work using static 36 faces (i.e., photographs) to investigate social judgments (Celani, 37 Battacchi, & Arcidiacono, 1999; Critchley et al., 2000; Grelotti, 38 Gauthier, & Schultz, 2002; Trepagnier, Sebrechts, & Peterson, 39 2002; Volkmar, Sparrow, Rende, & Cohen, 1989; Weeks & 40 Hobson, 1987) and gaze fixation behavior (Pelphrey et al., 2002; 41

Trepagnier et al., 2002; van der Geest, Kemner, Camfferman, Verbaten, & van Engeland, 2002a; van der Geest, Kemner, 43 Verbaten, & van Engeland, 2002b). Weeks and Hobson (1987) 44 showed that children with autism sorted static images of emotional facial expressions using non-emotional characteristics 46 (e.g., type of hat the person was wearing), while control children 47 matched for verbal ability sorted the faces mainly according to 48 emotional expression. The difference in behavior was unlikely 49 to be due to impairments in simple object recognition, since 50 Volkmar et al. (1989) found that children with autism performed 51 normally in assembling puzzles displaying pictures of human 52 faces. Celani et al. (1999) found that children with autism were 53 impaired both at matching facial emotions in static faces and 54 at judging valence from static facial expressions, compared to 55 healthy controls or controls with Down syndrome. Children with 56 autism were not impaired at matching facial identities or at judging valence given a social situation, however, further, Trepagnier 58 et al. (2002) found that high functioning people with autism, while being normal or better than normal at object recognition, 60 were impaired in facial recognition.

Eyetracking studies of children and adults with autism have 62 typically found abnormally infrequent gaze to the eyes and 63 abnormally frequent gaze to the mouth (Klin, Jones, Schultz, 64 Volkmar, & Cohen, 2002b; but see van der Geest et al., 2002a). 65 Pelphrey et al. (2002), in a study of high functioning adults with 66 autism, showed increased gaze to nonfeatural elements of static 67 faces and decreased gaze to facial features (e.g., eyes, nose, 68

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mouth) compared to controls. Trepagnier et al. (2002) found
that high functioning people with autism showed less gaze onto
faces overall than controls.

Critchley and co-workers (2000) identified neurobiological 72 abnormalities in high functioning adults with autism during pro-73 cessing of emotional facial images: unlike in healthy brains, the 74 fusiform face area, the left amygdala, and the left cerebellum 75 failed to show significant activations during implicit process-76 ing of faces. Ogai et al. (2003) were also able to differentiate 77 high functioning people with autism from controls using neu-78 roimaging during face processing. Although they did not find 79 any behavioral differences in the ability to accurately judge the 80 emotion in a face, they did show reduced activation in the insula 81 elicited by disgust faces and reduced activation in the middle 82 frontal gyrus in response to fear faces. 83

The literature thus documents impairments in eye gaze, in 84 social judgments, and in brain activation when people with 85 autism process faces. An important open question is whether the 86 impaired face gaze might account for impairments in the other 87 two domains, an issue that requires quantitative, detailed assess-88 ment of face gaze behavior. Here, we probed several aspects of 89 face gaze behavior during emotion judgment in autism. Specifi-90 cally, we focused on the relationship between, on the one hand, 91 fixations to and saccades away from the eyes and mouth, and 92 on the other hand, the visual information present within those 93 94 regions.

First, we examined whether people with autism would show 95 less specific fixation behavior to the eyes and mouth, such that 96 their fixations to those regions would not be as strongly asso-97 ciated with information in the regions. Given that people with autism are reported to make more fixations to the mouth and 99 less to the eyes (Klin et al., 2002b), compared to controls, one 100 would expect that their fixations to the mouth would be less 101 specific than those of controls. Second, we examined the rela-102 tionship between saccades away from the eyes and mouth and 103 the information within those regions. Given evidence for direct 104 gaze aversion in autism (Dalton et al., 2005; Hutt & Ounsted, 105 1966; Richer & Coss, 1976), one would expect that when people 106 with autism make saccades away from the eyes, there would be 107 greater information in the eye areas, compared to when controls 108 make saccades away from the eyes. Controls, of course, would 109 110 be expected not to make saccades away from the eyes when task-relevant information is present there. Finally, we examined 111 whether people with autism make saccades away from the eyes 112 and mouth in the same general direction as do controls. Given 113 known facial processing deficits in autism, one would expect 114 that people with autism would show abnormal directionality in 115 saccades away from the eyes and mouth, compared to controls. 116

Our approach, utilizing the "Bubbles" method (Gosselin & 117 Schyns, 2001), combines the ease of static facial stimuli with 118 an approach that allows the visual information in the face on 119 each trial to be varied randomly. During "Bubbles," a given trial 120 shows only randomly revealed areas of the face, determined by 121 the number of "bubbles," or Gaussian holes in a mask covering 122 the underlying, or base, image. This mask is called the "Bub-123 bles" mask. The more bubbles there are, the greater the portion 124 of the face that is revealed to a viewer. Averaging "Bubbles" 125

masks in a parameter-specific manner across all the trials (i.e., 126 across emotions as well) yields an image, called the "diagnos-127 tic image," that depicts which areas of the face, on average, 128 were associated most with the parameter under investigation. 129 For example, if people fixated the ears consistently, and not the 130 eyes and mouth, when the ears were revealed by the "Bubbles" 131 method, an analysis driven by fixations to the ears would yield 132 an image prominently showing the ears but missing the eyes 133 and mouth. So what is seen in a "Bubbles" diagnostic image is 134 the information associated with the behavioral parameter under 135 investigation. We combined eyetracking with "Bubbles" in order 136 to answer questions about the facial information associated with 137 detailed aspects of face gaze behavior. 138

1. Methods

1.1. Research participants 140

Research methods were conducted with the approval of the Institutional 141 Review Boards at the California Institute of Technology and the University of 142 North Carolina. Eight high functioning male participants with autism (HFA) 143 were recruited through the Subject Registry of the Neurodevelopmental Disor-144 ders Research Center (NDRC) at the University of North Carolina, where they 145 were tested. All HFA participants met DSM-IV/ICD-10 diagnostic criteria for 146 autism, and all met the cutoff scores for autism on both the Autism Diagnostic 147 Interview (LeCouteur, Rutter, & Lord, 1989) and the Autism Diagnostic Obser-148 vation Schedule (Lord et al., 1989). We assessed IQ for all participants using the 149 Wechsler Abbreviated Scale of Intelligence (WASITM). The HFA group had a 150 mean age of 23 years (20, 22, 21, 26, 20, 20, 18, 40), and mean IQ values of 106 151 verbal (108, 77, 122, 74, 120, 130, 87, 131), 102 performance (111, 118, 104, 152 97, 91, 119, 82, 94), and 104 full scale (111, 96, 115, 83, 106, 128, 83, 112).¹ 153 Ten male participants were enrolled as controls (C) and tested at Caltech with 154 the same protocols as were used for the HFA participants. Control participants 155 had no history of neurological or psychiatric disease or pervasive developmen-156 tal disorder or other evidence of developmental disability, or family history of 157 autism. Controls had a mean age of 28 years (20, 20, 22, 22, 22, 40, 39, 34, 32, 158 35), and mean IQ values of 101 verbal (83, 76, 81, 123, 104, 109, 121, 105, 95, 159 117), 111 performance (93, 106, 98, 119, 118, 106, 119, 109, 121, 119), and 160 106 full scale (86, 88, 88, 125, 111, 109, 124, 108, 108, 118). There was no 161 significant difference between the HFA group and controls in age, or in verbal, 162 performance, or full-scale IQ (p > 0.1 for each comparison, Wilcoxon rank-sum 163 test). All participants had normal or corrected-to-normal vision at testing time. 164

1.2. Procedures

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All eyetracking data and button responses were recorded using the Eye-166 link II head-mounted eyetracking system (SR Research, Osgoode, Ontario). 167 Eyetracking data were recorded either at 250 Hz, when a stable corneal reflec-168 tion was obtainable for a given participant, or at 500 Hz, when pupil-only 169 recording was used. These two different sampling rates had no effect on the 170 results. New nine-point calibrations and validations were performed prior to the 171 start of each experiment in a participant's session. Accuracy in the validations 172 typically was better than 0.5° of visual angle. Experiments were run under Win-173 dowsXP (Microsoft, Inc.) in Matlab (Mathworks, Inc., Natick, MA) using the 174 Psychophysics Toolbox (Brainard, 1997; Pelli, 1997) and the Eyelink Toolbox 175 (Cornelissen, Peters, & Palmer, 2002). 176

¹ High functioning autism (HFA) typically is defined as autism occurring with IQ scores >70. Thus, although our HFA sample included two individuals whose verbal IQs were in the 70s, they belong to the HFA population, especially given that their full-scale IQs are >80. Note that three of the individuals in our control sample had full-scale IQs <90, to ensure IQ comparability.

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177 Judgment of facial expressions in the "Bubbles" task used faces with randomly revealed regions as previously described (Gosselin & Schyns, 2001). 178 Briefly, on each trial, a randomly selected base facial image was first decom-179 posed into a six-level Laplacian pyramid using the Simoncelli steerable pyramid 180 toolbox for Matlab (Portilla & Simoncelli, 2000) with a Gaussian filter subtend-181 182 ing 1° of visual angle (11 w × 11 h). Levels one through five were then filtered with a number of bubbles whose centers were randomly distributed across the 183 image. These bubbles are collectively described as the "Bubbles" mask for a 184 given spatial frequency on a given trial. After filtering, levels one through five 185 186 were combined with a standard background corresponding to the sixth level, 187 and the resulting image was presented. The number of bubbles was adjusted for each participant on a trial by trial basis in order to maintain performance accu-188 189 racy of response near 80% correct. Note that bubbles were allowed to overlap, increasing the amount of the face revealed beyond the size of a single bubble. 190 Base stimuli (256 w \times 256 h; pixel units) were cropped from four Ekman faces 191 (Ekman & Friesen, 1976), each of a different posing participant (image codes: 192 A1-6, JB1-9, JJ5-13, MF1-27), and balanced for gender and facial expression 193 (2 fearful, 2 happy, 2 male, 2 female). Images were normalized for magnitude 194 195 across all spatial frequencies and centrally displayed using a monitor resolution of 640 w × 480 h (pixel units) on a 15.9 in. w × 11.9 in. h monitor, at an eye-196 197 to-screen distance of approximately 31 in., thus subtending 11.3° of horizontal 198 visual angle.

199 A given trial lasted the time it took participants to decide whether the face showed fear or happiness (Adolphs et al., 2005), for a maximal decision time of 200 10s following image onset. Participants were asked to judge whether the bub-201 bled face they saw was afraid or happy, by pushing a button. All participants 202 completed 512 trials. On every fifth trial, a circular annulus was centrally dis-203 played and participants were given an opportunity to rest. When they decided 204 to continue, they fixated the annulus and simultaneously pressed a key. This 205 advanced the experiment to the next trial and allowed the system to correct 206 207 for any drift in eyetracking accuracy. Participants were instructed to decide as 208 quickly as possible and to always make a decision, even if it was a best guess.

209 1.3. Analysis of performance and gaze behavior

Eyetracking data were analyzed for fixations using the Eyelink DataViewer 210 211 (SR Research, Osgoode, Ontario). Data were collected for both eyes and gaze coordinates for a given datapoint were calculated by taking the average of the 212 coordinates for both eyes. In discriminating fixations, we set saccade velocity, 213 acceleration, and motion thresholds to 30°/s, 9500°/s², and 0.15°, respectively. 214 Regions of interest (ROIs) were drawn for each facial image, using the drawing 215 functions within the DataViewer. We used regions of interest defined as the right 216 217 eye region (including the right eye and the eye socket around it), the left eye region (including the left eye and the eye socket around it), and the mouth. The 218 designations right and left are anatomical, and not from the perspective of the 219 220 viewer.

1.4. Association between face gaze and facial information in the eyes and mouth

Each trial in the "Bubbles" paradigm reveals to a participant some areas of 223 the face while obscuring others. To determine the extent of group differences in 224 225 how face gaze to the right eye region, the left eye region, and the mouth associated with facial information revealed in these regions, we first calculated, for each 226 region of interest, a fixation-dependent "Bubbles" mask. A fixation-dependent 227 228 "Bubbles" mask for a given region was calculated by summing all "Bubbles" masks for trials on which a fixation was made to the region. We then subtracted 229 one group's fixation-dependent "Bubbles" mask from that of the other group, 230 231 for each region.

In order to select regions of statistically significant difference, we converted all pixel values in a difference mask into Z-scores relative to that mean and standard deviation. The statistical analyses of the Z-scored classification image² proceeded by a recently developed method (Chauvin, Worsley, Schyns, & Gosselin, in press) that uses the same approach as that used for the statistical analysis of significant clusters of activation in fMRI and PET data (Friston, Worsley, Frackowiak, Mazziotta, & Evans, 1994). After smoothing with a Gaussian filter having sigma = 5, we subjected this Z-scored classification image to cluster tests, setting a threshold t = 2.5 and a significance p = 0.001. This resulted in a group diagnostic difference image for each region, showing which group demonstrated greater association between information in facial regions and fixations to those regions.

We used the procedure described above to determine statistically significant group differences, resulting in diagnostic difference images for each region. For each group, these images showed those regions more associated with fixation to a given region, compared to the other group.

All trials were used for this analysis, including all fixations that occurred248between 50 ms following image onset and the end of the trial (i.e., image offset249at response).250

1.5. Association of saccades from the eyes and mouth with facial information

We followed the same procedure as described, but modified to examine saccade-related facial information. To calculate a saccade-dependent "Bubbles" mask for a given region, we summed all "Bubbles" masks for trials on which fixations in the region were immediately followed by a saccade out of the region. 256

1.6. Directional analysis of saccades from the eyes and mouth

To determine whether there were group differences in the directionality of eye movements from the eyes and mouth, we examined the directions of saccades initiated from fixations to the eyes and mouth. Our analysis included all fixations to the right eye region, left eye region, and mouth, that occurred between 50 ms after image onset to the end of the trial. We analyzed the directionality of saccades from each region by including all saccades initiated within the region but ending outside of the region.

Circular rose plots were used for descriptive purposes. Rose plots are histograms displaying the saccade angle in bins and the number of saccades in a bin. We used a bin size of 5°. Calculation of circular means and dispersions and non-parametric statistical differences proceeded using circular statistics (Fisher, 1995) implemented in the PhasePACK toolbox in Matlab (http://www.vis.caltech.edu/~rizzuto/phasepack/).

2. Results

Findings regarding performance on the "bubbles" discrim-272 ination task (i.e., accuracy, reaction time), the use of facial 273 information on which this performance was based (as revealed 274 with the "Bubbles" method), and overall fixation behavior across 275 all the trials, are reported elsewhere (Spezio et al., submitted) and 276 summarized here only for background reference. Briefly, there 277 were no group differences in accuracy, reaction time, the num-278 ber of bubbles required for the task, or overall fixation to either 279 eye: HFA subjects performed entirely normally on these mea-280 sures. Despite this equivalent overall performance, there were 281 statistically significant group differences in how specific facial 282 areas were used to achieve it. In particular, the "Bubbles" method 283 revealed that the HFA group had a greater reliance on the mouth 284 and a decreased use of both eyes. In addition to this different 285 use of facial information in the "bubbles" task, the HFA group 286 also showed an overall increased fixation to the mouth. com-287 pared to controls. The aim of the present study, however, was 288 not to analyze emotion discrimination performance or global 289 fixation tendencies, but rather specifically to investigate the fix-290 ations made onto facial features as a function of what features 291 were actually revealed in the "bubbles" image. 292

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² (see http://mapageweb.umontreal.ca/gosselif/stat4ci.html).

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293 2.1. Fixations and facial information in the eyes and mouth

We sought to determine whether people with autism were more or less likely than controls to demonstrate an association between gaze to the eyes and mouth and the information displayed in those regions. The "Bubbles" method varies the amount of information in a given region of a face on each trial, allowing us to determine the average amount of information present in a region when a participant looked at that region, compared to when the participant looked at the other regions.

We hypothesized that controls would be fairly specific in their face gaze. That is, we expected that when they looked at

Gaze at Right Eye

Gaze at Left Eye

Gaze at Mouth

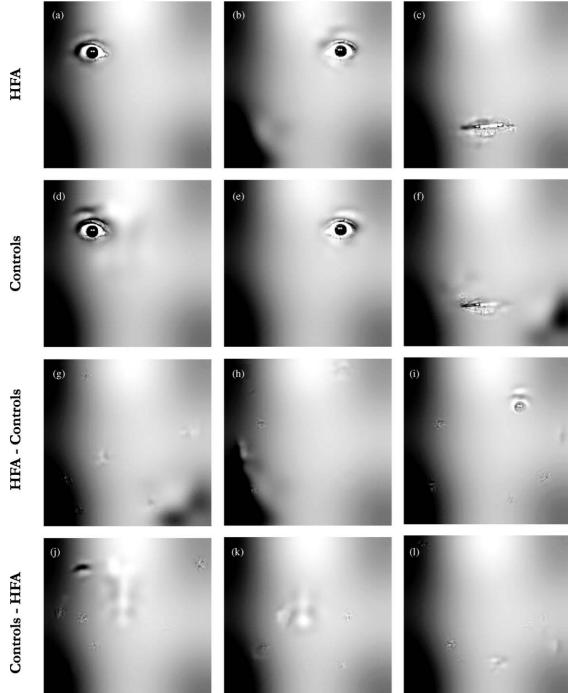


Fig. 1. Fixations and facial information in the eyes and mouth (a–f). The information associated with gaze fixation to the right eye region (a,d), the left eye region (b,e), and the mouth (c,f), compared to gaze fixation to the other two regions (g–l). Group differences in facial information associated with gaze fixation to the right eye region (g,j), the left eye region (h,k), and the mouth (i,l). Note that these images depict statistically thresholded differences; the facial features shown are thus those that differed significantly (p < 0.001 with a cluster threshold t = 2.5) in their use between the two subject groups.

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the eyes, there would, on average, be more information in the 304 eyes than when they looked at the mouth, and vice versa. How-305 ever, we hypothesized that the HFA group would not show the 306 same gaze specificity for gaze to the mouth as was shown by 307 controls. That is, we expected the HFA group to gaze at the 308 mouth even when information was available in the eyes. We 309 calculated diagnostic difference images to determine the group 310 differences in fixation specificity (see Methods). In what follows, 311 the names of defined regions of interest during fixation analy-312 ses are capitalized, while facial features in general are in lower 313 case. 314

The results are shown in Fig. 1a-f for HFA-Controls 315 (Fig. 1a-c) and Controls-HFA (Fig. 1d-f). There was a slight 316 group difference for information associated with gaze to the 317 mouth (Fig. 1c,f). The HFA group showed greater information 318 in the left eye during gaze to the mouth, compared to controls 319 (i.e., for those trials in which HFA participants looked at the 320 mouth, there was more information available in the left eye 321 than for those trials in which controls looked at the mouth). 322 Controls, on the other hand, showed greater information in 323 the mouth associated with gaze to the Mouth, compared to 324 the HFA group. This suggests both that the HFA group was 325 slightly less dependent upon information in the mouth area in 326 making fixations to that area and that the HFA group fixated 327 the mouth when there was information present in the left eye. 328 Thus, the HFA group showed less gaze specificity to the mouth. 329 330 A weaker group difference was seen for the right eye region.

Here, the HFA group showed decreased information at low spatial frequencies in the right eye during gaze to this region, again suggesting a decrease in gaze specificity. No group difference in the eyes or mouth was seen for the left eye region (Fig. 1a,d).

2.2. Saccades and facial information in the eyes and mouth

To examine whether the HFA group showed a greater propen-337 sity to make eye movements away from fixations to the eyes, 338 compared to controls, we analyzed the association between 339 facial information in the eyes and saccades from these areas. 340 We proceeded using the approach described above (see Meth-341 ods). Since some reports suggest that people with autism find 342 direct eye gaze aversive (Dalton et al., 2005; Hutt & Ounsted, 343 1966; Richer & Coss, 1976), we predicted that on trials when 344 the HFA group made saccades out of the eye regions, we would 345 find greater information in the eyes, compared to when con-346 trols made saccades out of the eye regions. Fig. 2a,b shows this 347 result. When the HFA group made saccades leaving the right 348 eye region (Fig. 2a) or the left eye region (Fig. 2b), there was 349 more information in those areas, and only in those areas, than 350 when controls made the same saccades. Controls did not show 351 any greater information in the eyes or mouth (Fig. 2d,e). Inter-352 estingly, there was also a greater amount of information in both 353 eyes when the HFA group made saccades leaving the mouth, 354 compared to controls (Fig. 2c).

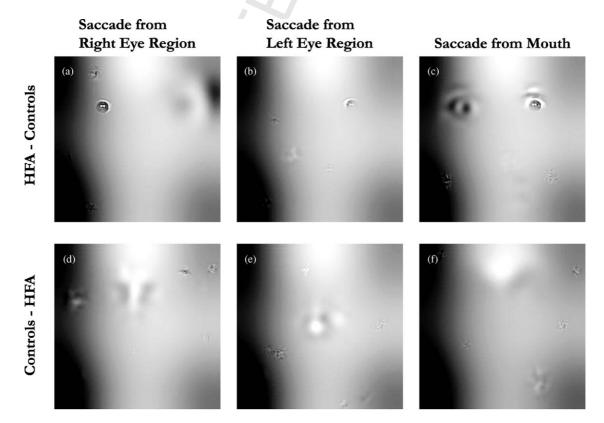


Fig. 2. Saccades and facial information in the eyes and mouth. Shown are group differences in the information associated with saccades leaving the right eye region (a,d), the left eye region (b,e), and the mouth (c,f).

+ Model

(a)

and controls (d-f).

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HFA

2.3. Directional analysis of saccades leaving the eyes and mouth

Given that the HFA group showed an increased tendency 357 compared to controls to make saccades leaving the eyes when 358 there was information in the eyes, we sought to determine 359 whether the direction of these saccades was also abnormal. We 360 analyzed all saccades leaving the eyes and mouth that immedi-361 ately followed fixations in those regions. We found no direc-362 tional difference for the right eye region (HFA, $330^{\circ} \pm 46^{\circ}$; 363 C, $330^{\circ} \pm 61^{\circ}$; p > 0.1; M \pm circular dispersion), shown in 364 Fig. 3a,d. However, there was a difference for the left eye region 365 (Fig. 3b,e), such that the HFA group made a greater propor-366 tion of saccades in the direction of the mouth than did controls 367 (HFA, $223^{\circ} \pm 52^{\circ}$; C, $214^{\circ} \pm 42^{\circ}$; p < 0.002). We also found a 368 difference for saccades leaving the Mouth (Fig. 3c,f), in that the 369 HFA group showed a greater propensity to make saccades in the 370 direction of the left eye (HFA, $80^{\circ} \pm 18^{\circ}$) than did controls, who 371

(b)

Fig. 3. Directionality of saccades leaving the eyes and mouth. Each circular, or "rose," plot is a histogram of the number of times a saccade leaving a region of interest was in a given direction; bin size was 5°. Shown are data for the right eye region, the left eye region, and the mouth, pooling all saccades leaving the regions immediately following fixations in the region, for the HFA group (a–c)

showed nearly equal tendencies to make saccades toward both $_{372}$ eyes (C, $87^{\circ} \pm 17^{\circ}$; p < 0.0001). Taken together, these findings $_{373}$ suggest that the HFA group showed saccade behavior that was $_{374}$ different from controls even when both groups fixated the same $_{376}$ key facial regions. $_{376}$

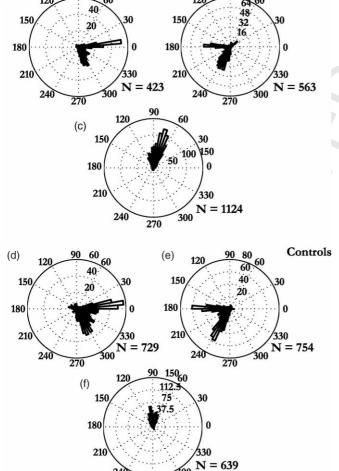
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3. Discussion

This is the first report to assess directly how information from 378 different features of the face affects face gaze during emotion 379 judgment in autism. We isolated several face processing impair-380 ments in people with autism by employing a novel approach 381 to facial information processing, compared to a group matched 382 for IQ, performance accuracy, and reaction time. We showed 383 that individuals with autism were distinguished from controls 384 in that they exhibited less specificity of fixation to the mouth, 385 an increased tendency to make saccades away from informa-386 tion in fixated eye regions, and abnormal saccade directionality 387 leaving the left eye and mouth. All face gaze abnormalities 388 were observed in the absence of group differences in accuracy 389 and reaction time. Thus, eyetracking in combination with the 390 Bubbles method yielded sensitive measures of behavioral abnor-391 malities in how people with autism process faces, and those 392 abnormalities could not be attributed simply to impaired perfor-393 mance accuracy on the task. 394

These impairments were revealed using facial expressions 395 of fear and happiness in an emotion judgment task. The pri-396 mary reason that we limited our study to these two emotions 397 was the large number of trials required in the "bubbles" task, 398 making it infeasible to include additional emotion categories. It is therefore important to consider whether the findings we 400 report are specific for these two emotions, or whether they 401 would generalize to other emotions as well, or even to face pro-402 cessing under other cognitive demands (e.g., identity matching, 403 gender discrimination). Green, Williams, & Davidson (2003) 404 found that people made more fixations to facial features when 405 shown facial expressions of anger and fear, compared to non-406 threatening facial expressions. No specific featural differences, 407 though, were noted. Similarly, we have not found any major 408 differences in fixation patterns onto facial features between the 409 six basic emotions (Adolphs et al., 2005). Moreover, the highly 410 expression-dependent face gaze specificity seen in a Rhesus 411 monkey model, wherein different facial expressions elicit differ-412 ent amounts of gaze to eyes and mouth (Nahm, Perret, Amaral, & 413 Albright, 1997), has not been observed thus far in humans. Addi-414 tionally, Klin and coworkers (Klin, Jones, Schultz, Volkmar, & 415 Cohen, 2002a; Klin et al., 2002b) do not report expression-416 dependent fixation patterns in participants with autism or in 417 controls. It is thus likely that the associations between gaze and 418 facial information that are reported here would generalize to 419 other facial expressions. 420

Yet, we expect that the same may not be true of other behavioral tasks. For example, judging gender and identity appears to rely on areas of the face beyond the eyes and mouth (Gosselin & Schyns, 2001; Schyns, Bonnar, & Gosselin, 2002). Thus, people with autism may be less oriented to the mouth in tasks not requiring emotion judgment, and there is little evidence that



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would lead one to expect that people with autism would have a
lower specificity of gaze to facial features such as the nose or the
hair or the chin when judging identity or gender. To be sure, we
expect that the relation between eye information and saccades
away from the eyes would still be observed in tasks of identity
and gender identification.

In interpreting these findings, one should recall that some 433 have raised the possibility that the "Bubbles" method, which 434 435 reveals only certain areas of an object on any given trial, alters strategies of visual processing (Murray & Gold, 2004). However, 436 a strong argument has been made that the "Bubbles" method 437 does not elicit an altered visual processing strategy for faces 438 in emotion judgment tasks (Gosselin & Schyns, 2004). That 439 this conclusion applies also in our study is further corroborated 440 by the identical performances on the "Bubbles" task (both in 441 terms of accuracy and reaction times). Thus, it is likely that our 442 findings reflect facial information processing strategies typically 443 employed by the HFA participants and controls when they pro-444 cess whole faces. 445

The HFA group showed reduced specificity in gaze to the 446 mouth (Fig. 1c,f), suggesting that the participants with autism 447 made fixations to the mouth even when information in the eye 448 areas was present and could presumably have contributed to the 449 emotion judgment task. Several hypotheses could account for 450 this result. The HFA group may have a propensity to look at the 451 mouth whether or not there is any useful information present 452 there at all. However, the slight difference between controls 453 and the HFA group seen in Fig. 1f suggests that this is not 454 the case. Another explanation for the lower specificity shown 455 in Fig. 1c is that the HFA group may show a greater propen-456 sity to look at the mouth when there is task-relevant information 457 equally present in the eyes and mouth. Still another hypothe-458 sis is that the HFA group's fixation behavior is guided more 459 by task-irrelevant, low-level attention cues, and that the mouth 460 region provides more of those even when there is task-relevant 461 information in the eye regions. We are testing this hypothesis by 462 examining the HFA fixation behavior in relation to predictions 463 made by a computational model of low-level attention (Itti & 464 Koch, 2001). 465

Several studies and anecdotal reports suggest that people with 466 autism find direct eye gaze aversive (Dalton et al., 2005; Hutt & 467 468 Ounsted, 1966; Richer & Coss, 1976). We reasoned that if this were the case with the HFA participants in this study, we would 469 see a higher level of information in the eyes when the HFA 470 group made saccades leaving the eyes, compared to controls. 471 Controls, of course, would likely not have made saccades away 472 from the eyes if task-relevant information were present in those 473 regions. Our findings confirm that the HFA group showed greater 474 information in the eyes associated with saccades leaving the left 475 eye. It is likely that we did not observe this difference for the right 476 eye due to the low number of fixations the HFA group made to 477 the right eye. Had more fixations to the right eye been available 478 for analysis, we expect that the increased statistical power would 479 have revealed the same association for the right eye. Our findings 480 are consistent with the notion that people with autism tend to find 48 direct eye contact aversive. Unfortunately, we did not interview 482 the HFA participants to determine whether they in fact report 483

an aversion to direct eye gaze. Nor did our experimental design lend itself to the recording psychophysiological measures that could shed light on this issue. Such design considerations are planned for future experiments.

Saccade behavior in autism has been examined primarily to 488 identify oculomotor deficits in autism, relating these to puta-489 tive cortical and cerebellar dysfunction (Chawarska, Klin, & 490 Volkmar, 2003; Minshew, Luna, & Sweeney, 1999; Rosenhall, 491 Johansson, & Gillberg, 1988; Takarae, Minshew, Luna, Krisky, 492 & Sweeney, 2004a; Takarae, Minshew, Luna, & Sweeney, 493 2004b). Here, we analyzed saccade directionality to determine 494 whether there is an impairment in how people with autism make 495 saccades during emotion judgment when they fixate the same 496 key facial features as controls. Our findings, which showed such 497 an impairment for saccades leaving the left eye and mouth, 498 are consistent with the view that face processing deficits in 499 autism are partially independent of the foveated visual informa-500 tion. That is, face processing deficits in autism cannot be fully 501 accounted for by differences in fixation behavior alone, suggest-502 ing that the brains of people with autism treat facial information 503 differently, even when the visual stimulus overtly attended is the 504 same. 505

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